CalWORKs Child Abuse/Neglect Prevention Services Screening Field Test

Test Period
June 24 – July 22, 2004

Instructions
Screening Tool
Definitions and Sources
Policy and Procedures
Follow-Up Questions Guide
Feedback Form

Children’s Research Center
426 S. Yellowstone Drive, Suite 250
Madison, WI 53719
(608) 831-1180  fax (608) 831-6446
www.nccd-crc.org
CALIFORNIA CalWORKs
CHILD ABUSE AND NEGLECT PREVENTION SERVICES SCREENING
FIELD TEST INSTRUCTIONS

WHEN: June 24-July 22, 2004

STEPS:
1. Begin application process by reading and discussing informed consent.
2. Include revised BHS tool in materials applicant completes.
3. During interview, ask any additional questions needed to complete the tool.
4. Immediately following interview, complete a faxable copy of the tool. Be sure to fully fill in circles.
5. Fax to the number on top of the tool.
6. If you encountered any difficulty completing the tool, note on your field test feedback form. Alert your supervisor to any issues you encounter that must be addressed before continuing with the field test.
California CalWORKs  
Child Abuse/Neglect Prevention Services Screening Tool  
CRC Automated Fax 608-821-0489

Case Name (Last First no comma)  
County Name  
Worker Name (Last First no comma)  
Date / /  
Worker I.D.  
Case #  

Case Type: (indicate one):  
- TANF applicant with no CPS case open. Complete all screening items. Fax form to CRC.  
- TANF applicant with CPS case open. Do NOT complete screening items. Fax form to CRC.

NEGLECT INDEX

N1. Prior CPS Investigations (assign highest score that applies)  
- a. None (-1)  
- b. One or more, ABUSE only (1)  
- c. One or two for NEGLECT (2)  
- d. Three or more for NEGLECT (3)

N2. Household has Previously Received CPS (voluntary/court-ordered)  
- a. No (0)  
- b. Yes (3)

N3. Number of Children in the Home (number: )  
- a. One, two, or three (0)  
- b. Four or more (2)

N4. Age of Youngest Child in the Home (age: )  
- a. Two or older (0)  
- b. Under two (1)

N5. Primary Caretaker Provides Physical Care Inconsistent with Child Needs  
- a. No (0)  
- b. Yes (1)

N6. Primary Caretaker has a History of Abuse or Neglect as a Child  
- a. No (0)  
- b. Yes (2)

N7. Primary Caretaker Characteristics (indicate applicable and add for score)  
- a. Not applicable (0)  
- b. Past or current mental health problem (1)  
- c. Past or current drug or alcohol problem (2)

N8. Primary Caretaker has a Criminal Arrest History  
- a. No (0)  
- b. Yes (1)

N9. Characteristics of Children in Household (score 1 if any present)  
- a. Not applicable (0)  
- b. One or more present (1) (indicate all applicable)  
- Developmental or physical disability  
- Medically fragile/failure to thrive  
- Positive toxicology screen at birth

N10. Current Housing is Physically Unsafe, or Family Homeless (score 2 if either or both are present)  
- a. No (0)  
- b. Either or both are present (2) (indicate applicable items)  
- Physically unsafe  
- Family homeless

TOTAL NEGLECT RISK SCORE

SCORED RISK LEVEL. Assign the family's scored risk level based on the highest score on either the neglect or abuse instrument, using the following chart:

<table>
<thead>
<tr>
<th>Neglect Score</th>
<th>Abuse Score</th>
<th>Scored Risk Level</th>
</tr>
</thead>
<tbody>
<tr>
<td>0 - 1</td>
<td>0 - 1</td>
<td>Low</td>
</tr>
<tr>
<td>1 - 3</td>
<td>2 - 4</td>
<td>Moderate</td>
</tr>
<tr>
<td>4 - 8</td>
<td>5 - 8</td>
<td>High</td>
</tr>
<tr>
<td>9+</td>
<td>9+</td>
<td>Very High</td>
</tr>
</tbody>
</table>

SERVICE INDICATOR. Indicate which services were offered and which the family will participate in:

CalWORKs  
WTW  
Prevention Services

ABUSE INDEX

A1. Number of Prior Abuse Investigations (number: )  
- a. None (0)  
- b. One (1)  
- c. Two or more (2)

A2. Household has Previously Received CPS (voluntary/court-ordered)  
- a. No (0)  
- b. Yes (2)

A3. Prior Substantiated Physical Abuse  
- a. No (0)  
- b. Yes (1)

A4. Two or More Incidents of Domestic Violence in the Household in the Past Year  
- a. No (0)  
- b. Yes (2)

A5. Primary Caretaker Characteristics (score 1 if any present)  
- a. Not applicable (0)  
- b. One or more present (1) (indicate all applicable)  
- Provides insufficient emotional/psychological support  
- Employs excessive/inappropriate discipline  
- Domineering caretaker

A6. Primary Caretaker has a History of Abuse or Neglect as a Child  
- a. No (0)  
- b. Yes (1)

A7. Either Caretaker has Past or Current Alcohol/Drug Problem (score 1 if any present)  
- a. No (0)  
- b. Yes, alcohol and/or drug (1) (indicate all applicable)  
- Primary current problem  
- Secondary current problem  
- Primary past problem  
- Secondary past problem

A8. Primary Caretaker has a Criminal Arrest History  
- a. No (0)  
- b. Yes (1)

A9. Characteristics of Children in Household (score 1 if any present)  
- a. Not applicable (0)  
- b. One or more present (1) (indicate all applicable)  
- Developmental disability  
- Mental health/behavioral problem

TOTAL ABUSE RISK SCORE

CalWORKs  
WTW  
Prevention Services
HOUSEHOLD: Only one household can be assessed on the Child Abuse/Neglect Prevention Services Screening Tool.

The household includes all persons who have significant in-home contact with the child(ren), including those who have a familial or intimate relationship with any person in the home.

PRIMARY CARETAKER: The primary caretaker is the adult living in the household who assumes the most responsibility for child care. When two adult caretakers are present and the worker is in doubt which one assumes the most child care responsibility, the adult with legal responsibility for the child(ren) should be selected as the primary caretaker. For example, when a mother and her boyfriend reside in the same household and appear to equally share caretaking responsibilities for the child(ren), the mother is selected. Only one primary caretaker can be identified.

SECONDARY CARETAKER: The secondary caretaker is defined as an adult living in the household who has routine responsibility for child care but less responsibility than the primary caretaker. A partner may be a secondary caretaker even though he/she has minimal responsibility for care of the child(ren).

NEGLECT INDEX

N1. **Prior CPS Investigations.**

Score the appropriate amount based on the number of prior child protective services (CPS) investigations and the type of complaint investigated. Referrals that were NOT assigned for investigation are NOT counted.

a) Score -1 if there were no investigations.

b) Score 1 if there has been one or more investigations, substantiated or not, for any type of **abuse**. Abuse includes physical, emotional, or sexual abuse/sexual exploitation.

c) Score 2 if there has been one or two investigations, substantiated or not, for any type of **neglect**, with or without abuse investigations. Neglect includes severe and general neglect, exploitation (excluding sexual exploitation), and caretaker absent/incapacitated.

d) Score 3 if there were three or more investigations, substantiated or not, for any type of **neglect**, with or without abuse investigations. Neglect includes severe and general neglect, exploitation (excluding sexual exploitation), and caretaker absent/incapacitated.

Where possible, history from other county or state jurisdictions should be checked. Exclude investigations of out-of-home perpetrators (e.g., day care) unless one or more caretakers failed to protect.
Complete CDS check for CPS record. If none, score -1 for N1 and 0 for N2. If CDS reveals that there is a prior or current CPS record, contact CWS-CMS liaison to obtain number and type of prior investigations and whether this resulted in any substantiated and/or open CPS cases.

If there is currently an open CPS case, STOP. On the top of the screening form, fill in the case type that indicates this is a CalWORKs applicant with a CPS case open. Do not complete the screening tool. Fax the form to CRC.

If there is NOT an open CPS case, proceed with completing the screening form. Based on CPS history, select from among b, c, or d in N1, and score N2, A1, A2, and A3.

N2. Household has Previously Received CPS (voluntary/court-ordered).
Score 3 if household has previously received ongoing CPS as a result of a prior investigation. Service history includes voluntary or court-ordered family services or Family Preservation Services, but does not include delinquency services. Score 0 if there is no previous CPS.

If there is currently an open CPS case, STOP. On the top of the screening tool, fill in the case type that indicates this CalWORKs applicant has an open CPS case. Fax the form to CRC.

If there is a CPS history (but no currently open case), score 3. If no investigations led to an open case, score 0.

Note: Items N2 and A2 require the same information.

N3. Number of Children in the Home.
Score any children under 18 years of age who live in the home, related or not. Score 0 for one, two, or three children. Score 2 for four or more children.

Score the appropriate amount given the current age of the youngest child presently living in the household. If a child is in foster care, is living with a relative, has run away, is in the hospital, or is any other situation that is TEMPORARY, count the child as residing in the home. Do not count a child who permanently lives in another household. Score 0 if age of child is less than one year.
N5. **Primary Caretaker Provides Physical Care Inconsistent with Child Needs.**

Score 1 if physical care of the child(ren) (e.g., age-appropriate feeding, clothing, shelter, hygiene, and medical care of the child[ren]) threatens the child(ren)’s well-being or results in harm to the child(ren). Examples include:

- failure to obtain standard immunizations,
- failure to obtain medical care for severe or chronic illness,
- failure to provide child(ren) with clothing appropriate to the weather,
- persistent rat or roach infestations,
- inadequate or inoperative plumbing or heating,
- poisonous substances or dangerous objects lying within reach of small child(ren),
- child(ren) is wearing filthy clothes for extended periods of time, or
- child(ren) is not being bathed on a regular basis resulting in dirt caked on skin and hair and a strong odor.

Score 0 if caretaker provides care to meet the child(ren)’s needs.

<table>
<thead>
<tr>
<th>SOURCE: SAWS 1, #18. SAWS 2, #3, #21.</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Immunizations record from SAWS 2, #3.</td>
</tr>
<tr>
<td>• Medical care from SAWS 1, #18. If applicant reports no medical insurance, ask if there are any medical conditions for any child in the household that have been untreated as a result. If applicant reports medical insurance, ask if there are any other barriers that have prevented obtaining medical care for any child.</td>
</tr>
<tr>
<td>• Clothing may come from SAWS 1 #18, and from observation. If child(ren) is wearing clothing inappropriate to the weather, or filthy clothing, inquire whether there have been difficulties obtaining clothing. Score ONLY if applicant reports that this is a persistent problem. Follow-up questions should discern whether the problem is persistent.</td>
</tr>
<tr>
<td>• Bathing may come from observation. If significant hygiene problems are observed, ask if something is out of the ordinary today. Score only if the applicant indicates that the problem is persistent. Follow-up questions should discern whether the problem is persistent.</td>
</tr>
<tr>
<td>• Household hazards.</td>
</tr>
</tbody>
</table>

N6. **Primary Caretaker has a History of Abuse or Neglect as a Child.**

Score 2 if credible statements by the primary caretaker or others, or state records of past allegations, indicate that the primary caretaker was maltreated as a child (maltreatment includes neglect or physical, sexual, or other abuse). Score 0 if there is no indication.
If history of CA/N as a child is indicated, follow up with questions to confirm that the applicant’s childhood experience would constitute abuse/neglect. (Note that domestic violence is scored under screening tool item A4.)

If not indicated, follow up with a question for verification such as, “Could you tell me about how you feel your childhood helped to prepare you to hold a job and be a parent today?” Listen for any indication that applicant may have experienced conditions they did not call abuse or neglect but which would generally be considered so.

Note: Items N6 and A6 require the same information and are scored in the same manner.

N7. **Primary Caretaker Characteristics.**

Score the following characteristics and record the sum as the item score.

a) Score 0 if none of the identified characteristics are applicable.

b) Past or Current Mental Health Problem. Score 1 if credible and/or verifiable statements by the primary caretaker or others indicate that the primary caretaker has been diagnosed with a Diagnostic and Statistical Manual (DSM) condition by a mental health clinician, had repeated referrals for mental health/psychological evaluations, or was recommended for treatment/hospitalization or treated/hospitalized for emotional problems at any time.

c) Past or Current Drug or Alcohol Problem. Score 2 if the primary caretaker has a past or current alcohol/drug abuse problem that interferes with his/her or the family’s functioning. Such interference is evidenced by:

- substance use that affects or affected employment, criminal involvement, marital or family relationships, or ability to provide protection, supervision, and care for the child(ren);
- an arrest in the past two years for driving under the influence or refusing breathalyzer testing;
- self report of a problem;
- treatment received currently or in the past;
- multiple positive urine samples;
- health/medical problems resulting from substance use; or
- child(ren) was diagnosed with Fetal Alcohol Syndrome or Exposure (FAS or FAE) or child had a positive toxicology screen at birth and primary caretaker was birthing parent.

Legal, non-abusive prescription drug use should not be scored.

SOURCE: Current mental health and/or substance abuse information is drawn from the BHS Questionnaire (revised for pilot use.) Follow-up questions are necessary to determine whether the applicant has an official diagnosis, repeated referrals, hospitalizations, etc. Note that only primary caretaker is scored on this item.
N8. **Primary Caretaker has Criminal Arrest History.**
Indicate whether the primary caretaker has ever been arrested or convicted as either an adult or a juvenile. This includes DUI but excludes all other traffic offenses. Score 0 if no criminal arrest history. Score 1 if the applicant has been arrested.

SOURCE: SAWS 2 #47 and #48 has limited arrest history. If there has been an incarceration, follow up to be sure jail time was not for minor traffic offense. Determine if work history shows a gap(s) in employment. Follow up to discern whether these were periods of incarceration.

Note: Items N8 and A8 are scored in the same manner.

N9. **Characteristics of Children in Household.**
Check any of the identified characteristics that are present for a child(ren) in the home.

a) Score 0 if no child in the household exhibits characteristics listed below.

b) Score 1 if one or more of the following apply:
   - Any child has a developmental or physical disability, including any of the following: mental retardation, learning disability, other developmental problem, or significant physical handicap.
   - Any child in the household is medically fragile, defined as having a long-term (six months or more) physical condition requiring medical intervention, or is diagnosed as failure to thrive.
   - Any child was affected by drugs or alcohol in utero or at birth.

SOURCE: SAWS 2, #21 and #45 inquire about special care needs. BHS Questionnaire (revised for pilot use). Applicant may mention special needs of child. Follow up with questions to determine the nature of child’s developmental and physical capacity, medical condition, and drug or alcohol exposure during pregnancy.

N10. **Current Housing is Physically Unsafe or Family Homeless.**
Indicate if any of the conditions apply and check the applicable one.

a) Score 0 if the family has housing that is physically safe.

b) Score 2 if either of the following applies to the primary caretaker home:
   - The family has housing, but the current housing situation is physically unsafe such that it does not meet the health or safety needs of the child(ren) (for example: exposed wiring, inoperable heat or plumbing, roach/rat infestations, human/animal waste on floors, rotting food).
   - The family is homeless or about to be evicted.

SOURCE: SAWS 1, #7, #13, #16, #18 and SAWS 2, #36 and #37. Family is likely to be very explicit about homelessness and/or pending eviction.
ABUSE INDEX

A1. Number of Prior Abuse Investigations.
Score the appropriate amount given the count of all investigations, substantiated or not, which were assigned for CPS investigation for any type of abuse (physical, emotional, or sexual abuse/sexual exploitation) prior to the CalWORKs application. Where possible, abuse history from other county or state jurisdictions should be checked. Exclude investigations of out-of-home perpetrators (e.g., day care) unless one or more caretakers failed to protect, and referrals that did not result in an investigation.

SOURCE: CDS/CWS CMS, CFS Staff. Complete CDS check for CPS record.
If none, score 0 for A1, A2, and A3.
If CDS reveals that there is a prior or current CPS record, contact CWS-CMS liaison to obtain number and type of prior investigations and whether this resulted in any substantiations and/or open cases. Use this information to select from among b or c in A1, and to score A2 and A3. If there is currently an open CPS case, STOP. Check the Case Type at the top of the form to indicate that this is a CalWORKs applicant with a CPS case open. Fax the form to CRC.

A2. Household has Previously Received CPS (voluntary/court-ordered).
Score 2 if household has previously received CPS as a result of a prior investigation. Service history includes voluntary or court-ordered family services or Family Preservation Services, but does not include delinquency services. Score 0 if there is no previous CPS.

SOURCE: CDS/CWS CMS, CFS Staff. If CDS check shows no prior CPS, score 0. If CDS check shows a CPS record, contact CWS-CMS liaison to determine whether there was or is an open case.
If there is currently an open CPS case, STOP. On the top of the screening tool, fill in the case type that indicates this CalWORKs applicant has an open CPS case. Fax the form to CRC.
If there is a CPS history (but no currently open case), score 2. If no investigations led to an open case, score 0.
Note: Items N2 and A2 require the same information.

Score 2 if any prior physical abuse investigation was substantiated. Score 0 if there is no history of substantiated physical abuse.

SOURCE: CDS/CWS CMS, CFS Staff. If CDS shows no current or prior CPS, score 0. If CDS check shows some CPS record, contact CWS-CMS liaison to determine whether there was a substantiated physical abuse investigation.
A4. **Two or More Incidents of Domestic Violence in the Household in the Past Year.**
Score 1 if in the previous year, there have been two or more physical assaults or multiple periods of intimidation/threats/harassment between caretakers or between a caretaker and another adult. Score 0 if not.

SAWS 1, #13.

During the initial application interview, inquire as usual about any domestic violence concerns. If any are reported, ask if it was a one-time incident or has occurred on more than one occasion. If applicant reports no domestic violence, inquire about intimidation, threats, or harassment that may make it difficult to focus on work.

A5. **Primary Caretaker Characteristics.**
Indicate if any of the identified characteristics are present and check which are applicable.

a) Score 0 if the primary caretaker does not exhibit characteristics listed below, or if unable to observe.

b) Score 1 if one or more of the following apply to the primary caretaker:

- The primary caretaker provides insufficient emotional/psychological support to the child(ren), such as persistently berating/belittling/demeaning the child(ren) or depriving the child(ren) of affection or emotional support.
- The primary caretaker employs excessive/inappropriate discipline. Disciplinary practices cause or threaten harm to the child(ren) because they are excessively harsh physically or emotionally and/or are inappropriate to the child(ren)’s age or development. Examples include: locking the child(ren) in closet or basement, holding the child(ren)’s hand over fire, hitting the child(ren) with dangerous instruments, or depriving the young child(ren) of physical and/or social activity for extended periods.
- The primary caretaker is domineering, indicated by controlling, abusive, overly-restrictive, or unfair behavior or over-reactive rules.

SOURCE: Observations. How does the parent interact with the child in the office? Ask follow-up questions to determine if the applicant routinely exhibits any of the above characteristics.

A6. **Primary Caretaker has a History of Abuse or Neglect as a Child.**
Score 1 if credible statements by the primary caretaker or others, or state records of past allegations, indicate that the primary caretaker was maltreated as a child (maltreatment includes neglect or physical, sexual, or other abuse). Score 0 if there is no indication.
SOURCE: BHS Questionnaire (revised for pilot use). LD Screening Tool #1, #5, #6, #15, #16, #23.

If history of CA/N as a child is indicated, follow up with questions to confirm that the applicant’s childhood experience would constitute abuse/neglect. (Note that domestic violence is scored under screening tool item A4.)

If not indicated, follow up with a question for verification such as, “Could you tell me about how you feel your childhood helped to prepare you to hold a job and be a parent today?” Listen for any indication that applicant may have experienced conditions they did not call abuse or neglect but which would generally be considered so.

Note: Items N6 and A6 require the same information and are scored in the same manner.

A7. **Either Caretaker has Past or Current Alcohol/Drug Problem.**

Score the following and check applicable items to specify which caretaker(s) and past versus current.

a) Score 0 if neither caretaker has past or current substance abuse problems.

b) Score 1 if one or more caretakers has a past or current alcohol/drug abuse problem that interferes with his/her or the family’s functioning. Such interference is evidenced by:

- substance use that affects or affected employment, criminal involvement, marital or family relationships, or ability to provide protection, supervision, and care for the child(ren);
- an arrest in the past two years for driving under the influence or refusing breathalyzer testing;
- self report of a problem;
- treatment received currently or in the past;
- multiple positive urine samples;
- health/medical problems resulting from substance use; or
- child(ren) was diagnosed with Fetal Alcohol Syndrome or Exposure (FAS or FAE) or child had a positive toxicology screen at birth and the caretaker was birthing parent.

Legal, non-abusive prescription drug use should not be scored.

For each caretaker, check “current problem” if the problem is present at this time or during the past 12 months. Check “past problem” if there was a problem at some time prior to the past 12 months. Score 0 and do not check anything if there is no current or past alcohol/drug problem for either caretaker.

SOURCE: Current substance abuse information is drawn from the BHS Questionnaire (revised for pilot use). Follow-up questions are necessary to determine whether the applicant has an official diagnosis, repeated referrals, hospitalizations, etc. Note that this item is similar to N7 sub-item c; however, both the primary AND the secondary caretaker are scored on this item.
A8. **Primary Caretaker has a Criminal Arrest History.**
Indicate whether the primary caretaker has been arrested or convicted as either an adult or a juvenile. This includes DUI but excludes all other traffic offenses. Score 0 if no criminal arrest history. Score 1 if the applicant has been arrested.

**SOURCE:** SAWS 2 #47 and #48 has limited arrest history. If there has been an incarceration, follow up to be sure jail time was not for minor traffic offense. Determine if work history shows a gap(s) in employment. Follow up to discern whether these were periods of incarceration.

Note: Items N8 and A8 are scored in the same manner.

A9. **Characteristics of Children in Household.**
Indicate if any of the identified characteristics are present for a child(ren) in the home, and check which are applicable.

a) Score 0 if no child in the household exhibits characteristics listed below.

b) Score 1 if one or more of the following apply:

- Any child in the household has been referred to juvenile court for delinquent or status offense behavior. Status offenses not brought to court attention but that create stress within the household should also be scored, such as children who run away or are habitually truant.
- Any child has a developmental disability, including any of the following: mental retardation, learning disability, or other developmental problem.
- Any child in the household has mental health or behavioral problems not related to a physical or developmental disability (includes ADHD/ADD). This could be indicated by a DSM diagnosis, receiving mental health treatment, attendance in a special classroom because of behavioral problems, or currently taking psychoactive medication.

**SOURCE:** SAWS 2 #3, #23, #28, #39, #45.

Applicant may mention special needs of child. Follow up with questions to determine children’s delinquency history, developmental status, and mental health/behavioral conditions.
The Child Abuse/Neglect Prevention Services Screening Tool is used to make two decisions: whether or not to offer voluntary prevention services and, if so, the type of services offered. The basis for these decisions is family risk. Risk assessment identifies families who have low, moderate, high, or very high probabilities of future abuse or neglect. By completing the tool, the worker obtains an objective appraisal of the likelihood that a family will maltreat their children in the next 18 to 24 months. The difference between risk levels is substantial. High risk families have significantly higher rates of subsequent referral and substantiation than low risk families and are more often involved in serious abuse or neglect incidents.

When risk is clearly defined and objectively quantified, the choice between serving one family or another is simplified: agency prevention resources are targeted to higher risk families because of the greater potential to reduce subsequent maltreatment.

The risk assessment is based on research on cases investigated for abuse or neglect that examined the relationships between family characteristics and the outcomes of subsequent abuse and neglect. The tool does not predict recurrence, but simply assesses whether a family is more or less likely to have an incident without intervention by the agency.

**Which Cases:** All CalWORKs applicants who voluntarily agree to screening and who do NOT have a current CPS case open. Also, complete the screening tool for exempt and child only applicants. Record responses based on the child’s current household.

**When:** As soon as possible, and no later than ten days after the initial application.

**Who:** Initial CalWORKs service worker.  
*Note: For low and moderate risk families, the ongoing case manager will review the screening tool to verify information and will revise if needed.*

**Decisions:** The risk level identifies the degree of risk of future maltreatment, guides the decision to offer voluntary prevention services, and guides the type of services offered.

Please see the following table for open/close guides.
### Risk-Based Case Open/Close Guide

<table>
<thead>
<tr>
<th>Risk Level</th>
<th>Recommended Options</th>
</tr>
</thead>
<tbody>
<tr>
<td>Low</td>
<td>Standard CalWORKs</td>
</tr>
<tr>
<td>Moderate</td>
<td>Standard CalWORKs</td>
</tr>
<tr>
<td>High</td>
<td>Offer prevention services. If accepted, transfer immediately to MDT.</td>
</tr>
<tr>
<td>Very High</td>
<td>Offer prevention services. If accepted, transfer immediately to MDT.</td>
</tr>
</tbody>
</table>

**Appropriate Completion:**

The Child Abuse/Neglect Prevention Services Screening Tool is completed based on conditions that exist at the time of the application as well as the prior history of the family. Only one household can be assessed on the tool.

**Scoring Individual Items**

A score for each assessment item is derived from the responses to the SAWS 1, SAWS 2, BHS Questionnaire (revised for pilot use), LD Screening Tool, follow-up questions by the worker, and the worker's observations. Some characteristics are very objective (such as prior child abuse/neglect history or the age of the child). Others require the worker to use discretionary judgment based on his or her assessment of the family. Sources of information used to determine the worker's endorsement of an item may include statements by the child, caretaker, or collateral persons; worker observations; reports; or other reliable sources.

The worker should refer to definitions to determine the appropriate response for each item.

After all items are scored, the worker totals the score and indicates the corresponding risk level for each index. Next, under scored risk level, indicate the higher of the abuse or neglect levels. The scored risk level is used to determine whether or not a family is eligible for prevention services. Indicate whether or not the family will participate in prevention services by circling either ‘yes’ or ‘no.’
<table>
<thead>
<tr>
<th>Question</th>
<th>Source</th>
<th>Suggested Questions</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>N1. Prior CPS Investigations</strong></td>
<td>CDS/CWS CMS, CFS Staff, Client self-disclosure</td>
<td>Have you been involved with social services in the past? In what capacity? Have you ever received any benefits from social services? If applicant indicates that he/she has been reported to CPS, ask: Would you tell me more about that? When was that? Was that once or more than once? How often? What was reported? What were you accused of? Was the report substantiated? Did CPS open a case? Did your child(ren) stay with you or were they removed? Did you go to court?</td>
</tr>
<tr>
<td><strong>N2. Household has Previously Received CPS</strong></td>
<td>CWS/CMS, CFS Staff</td>
<td>Same questions as N1 above.</td>
</tr>
<tr>
<td><strong>N3. Number of Children in the Home</strong></td>
<td>SAWS 2, #3</td>
<td>Same questions as N1 above.</td>
</tr>
<tr>
<td><strong>N4. Age of Youngest Child in the Home</strong></td>
<td>SAWS 2, #3</td>
<td>Same questions as N1 above.</td>
</tr>
<tr>
<td><strong>N5. Primary Caretaker Provides Physical Care Inconsistent with Child Needs</strong></td>
<td>SAWS 1, #18, SAWS 2, #3, #21</td>
<td>Observe physical health of child, clothing, behavior. Expanded questions related to immunization of child(ren) health, home safety. Observe clothing and assess whether or not child(ren) appears clean. Explore health of child(ren). What has prevented you from getting immunizations for your child(ren)? When was your child(ren)'s last physical? How is the general health of your child(ren)? If applicant indicates they have no health insurance, ask if there are any medical conditions for any child in the household that have been untreated as a result. For child(ren) appears dirty or inadequately dressed for the weather, ask if there are any problems with bathing the child(ren). Ask if the applicant has any problem providing adequate clothes for the child(ren). Try to determine if problems are persistent.</td>
</tr>
</tbody>
</table>
| **N6. Primary Caretaker has a History of Abuse or Neglect as a Child** | BHS Questionnaire (revised for pilot use), LD Screening Tool #1, #5, #6, #15, #16, #23 | Build rapport. Brief social history. Interview style. Where were you born? What was it like for you growing up? Tell me about:  
  • your childhood experience  
  • schooling  
  • relationship with spouse  
  • relationship with parents as a child  
  If applicant indicates they were abuse/neglected, confirm that the experience constitutes abuse/neglect. If they do not indicate abuse/neglect history, ask: “Could you tell me about how you feel your childhood helped to prepare you to hold a job and be a parent today?” Listen for indications that they experience conditions they did not call abuse or neglect but would generally be considered so. |
<p>| <strong>N7. Primary Caretaker Characteristics</strong>          | BHS Questionnaire (revised for pilot use)   | Complete BHS before asking. If BHS indicates mental health issues: Were there times you were so sad or nervous you could not go to work or school? Have you ever been diagnosed with a mental health condition? Have you ever been referred for mental health/psychological evaluations? Have you ever been recommended for treatment/hospitalization or |</p>
<table>
<thead>
<tr>
<th><strong>Follow-up Questions Guide</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>N8. Primary Caretaker has Criminal Arrest History</strong></td>
</tr>
<tr>
<td>SAWS 2, # 47, #48 Expanded question regarding any criminal history</td>
</tr>
<tr>
<td>Client self-disclosure</td>
</tr>
<tr>
<td>Have you had any criminal history or arrest record that would affect your ability to seek/retain employment or obtain a license? Have you ever been arrested? If so, what for? Examine work history.</td>
</tr>
<tr>
<td>Try to determine if the person was incarcerated during this time. If a welfare fraud alert on case, ask why.</td>
</tr>
<tr>
<td><strong>N9. Characteristics of Children in Household (score 1 if any present)</strong></td>
</tr>
<tr>
<td>a. Not applicable</td>
</tr>
<tr>
<td>b. One or more present (check all applicable)</td>
</tr>
<tr>
<td>Developmental or physical disability</td>
</tr>
<tr>
<td>Medically fragile/failure to thrive</td>
</tr>
<tr>
<td>Positive toxicology screen at birth</td>
</tr>
<tr>
<td>Client self-disclosure</td>
</tr>
<tr>
<td>Complete BHS before asking.</td>
</tr>
<tr>
<td>Does your child(ren) have any health problems? If so, tell me more. Have any of the illnesses lasted longer than six months? Have any children been diagnosed as “failure to thrive”?</td>
</tr>
<tr>
<td>Do you have any problems with your child(ren) frequently changing day care or school? If so, tell me more.</td>
</tr>
<tr>
<td>Have you ever been called for an unscheduled parent/teacher conference? If so, tell me more.</td>
</tr>
<tr>
<td>Did you use drugs or alcohol while you were pregnant?</td>
</tr>
<tr>
<td><strong>N10. Current Housing is Physically Unsafe or Family Homeless</strong></td>
</tr>
<tr>
<td>SAWS 1 # 7, #13, #16 #18 SAWS 2 # 36, #37</td>
</tr>
<tr>
<td>Client self-disclosure</td>
</tr>
<tr>
<td>Do you have any problems with your landlord?</td>
</tr>
<tr>
<td>Does your landlord keep your apartment in good condition?</td>
</tr>
<tr>
<td>Tell me about your home/apartment.</td>
</tr>
<tr>
<td>Do you have any problems with other household members in relation to shared housing?</td>
</tr>
<tr>
<td>Try to determine if the home meets health and safety needs of children (no exposed wiring, no infestations, etc.).</td>
</tr>
<tr>
<td><strong>A1. Number of Prior Abuse Investigations</strong></td>
</tr>
<tr>
<td>CWS/CMS CFS Staff Client self-disclosure</td>
</tr>
<tr>
<td>Have you been involved with social services in the past? In what capacity? Have you ever received any benefits from social services? If applicant indicates that he/she has been reported to CPS, ask:</td>
</tr>
<tr>
<td>Would you tell me more about that?</td>
</tr>
<tr>
<td>When was that?</td>
</tr>
<tr>
<td>Was that once or more than once?</td>
</tr>
<tr>
<td>How often?</td>
</tr>
<tr>
<td>What was reported? What were you accused of?</td>
</tr>
<tr>
<td>Was the report substantiated?</td>
</tr>
<tr>
<td>Did CPS open a case?</td>
</tr>
<tr>
<td>Did your child(ren) stay with you or were they removed?</td>
</tr>
<tr>
<td>Did you go to court?</td>
</tr>
<tr>
<td><strong>A2. Household has Previously Received CPS</strong></td>
</tr>
<tr>
<td>CWS/CMS CFS Staff Client self-disclosure</td>
</tr>
<tr>
<td>Same questions as A1.</td>
</tr>
<tr>
<td><strong>A3. Prior Substantiated Physical Abuse</strong></td>
</tr>
<tr>
<td>CWS/CMS CFS Staff Client self-disclosure</td>
</tr>
<tr>
<td>Same questions as A1.</td>
</tr>
<tr>
<td><strong>A4. Two or More Incidents of Domestic Violence in the Household in the Past Year</strong></td>
</tr>
<tr>
<td>SAWS 1 #13 Observe who answers questions in the interview if a two parent HH</td>
</tr>
<tr>
<td>How many times in the last year have you felt threatened or harmed by your partner?</td>
</tr>
<tr>
<td></td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>A5. Primary Caretaker Characteristics</th>
<th>Observe parent/child relationship</th>
<th>Who is the main disciplinarian in your family?</th>
</tr>
</thead>
<tbody>
<tr>
<td>(score 1 if any present)</td>
<td>Observe who answers questions in</td>
<td>How do you discipline your children?</td>
</tr>
<tr>
<td>a. Not applicable</td>
<td>the interview if a two-parent</td>
<td>What are some of your household rules?</td>
</tr>
<tr>
<td>b. One or more present (check all</td>
<td>household</td>
<td>How do you show support for your children?</td>
</tr>
<tr>
<td>applicable)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Provides insufficient</td>
<td></td>
<td></td>
</tr>
<tr>
<td>emotional/psychological support</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Employs excessive/inappropriate</td>
<td></td>
<td></td>
</tr>
<tr>
<td>discipline</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Domineering caretaker</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>A6. Primary Caretaker has a History of Abuse or Neglect as a Child</th>
<th>BHS Questionnaire (revised for pilot use)</th>
<th>Build rapport.</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>LD Screening tool #1, #5, #6, #15, #16, #23</td>
<td>Brief social history.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Interview style.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Where were you born?</td>
</tr>
<tr>
<td></td>
<td></td>
<td>What was it like for you growing up?</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Tell me about:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• your childhood experience</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• schooling</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• relationship with spouse</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• relationship with parents as a child</td>
</tr>
<tr>
<td></td>
<td></td>
<td>If applicant indicates they were abuse/neglected, confirm that the experience constitutes abuse/neglect. If they do not indicate abuse/neglect history, ask ‘Could you tell me about how your feel your childhood helped to prepare you to hold a job and be a parent today?’ Listen for indications that they experience conditions they did not call abuse or neglect but would generally be considered so.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>A7. Either Caretaker has Past or Current Alcohol/Drug Problem (score 1 if any present)</th>
<th>BHS Questionnaire (revised for pilot use)</th>
<th>If BHS indicates drug or alcohol issues:</th>
</tr>
</thead>
<tbody>
<tr>
<td>a. No</td>
<td></td>
<td>Have drugs or alcohol ever affected your job?</td>
</tr>
<tr>
<td>b. Yes, alcohol and/or drug (check all applicable)</td>
<td></td>
<td>Have you had any criminal involvement stemming from drug/alcohol use?</td>
</tr>
<tr>
<td>Primary current problem</td>
<td></td>
<td>Have drugs or alcohol affected your marriage?</td>
</tr>
<tr>
<td>Secondary current problem</td>
<td></td>
<td>Family relationships?</td>
</tr>
<tr>
<td>Primary past problem</td>
<td></td>
<td>Ability to care for child(ren)?</td>
</tr>
<tr>
<td>Secondary past problem</td>
<td></td>
<td>Have you been arrested for driving under the influence?</td>
</tr>
<tr>
<td></td>
<td></td>
<td>If so, when?</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Did you use drugs or alcohol while you were pregnant?</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Have you ever received substance abuse treatment?</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Have any of your children been born with a positive toxicology screen?</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>A8. Primary Caretaker has a Criminal Arrest History</th>
<th>SAWS 2, #47, #48</th>
<th>Have you had any criminal history or arrest record that would affect your ability to seek/retain employment or obtain a license?</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Have you ever been arrested? If so, what for? Examine work history. If there is a gap, ask why. Try to determine if the person was incarcerated during this time. If a welfare fraud alert on case, ask WF if there is a criminal record.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>A9. Characteristics of Children in Household (score 1 if any present)</th>
<th>SAWS 2 #3, #23, #28, #39, #45</th>
<th>Do you have any problems with your child(ren) frequently changing day care or school?</th>
</tr>
</thead>
<tbody>
<tr>
<td>a. Not applicable</td>
<td></td>
<td>If so, tell me more.</td>
</tr>
<tr>
<td>b. One or more present (check all applicable)</td>
<td></td>
<td>Have you ever been called for an unscheduled parent/teacher conference?</td>
</tr>
<tr>
<td>Delinquency history</td>
<td></td>
<td>If so, tell me more.</td>
</tr>
<tr>
<td>Developmental disability</td>
<td></td>
<td>Have any children ever been referred to juvenile court?</td>
</tr>
<tr>
<td>Mental health/behavioral problem</td>
<td></td>
<td>Is your child(ren) enrolled in any special education programs?</td>
</tr>
</tbody>
</table>
You are one of 12 CalWORKs workers who participated in the field test of the Child Abuse/Neglect Prevention Services Screening that started on June 24 and ended July 22, 2004, in Orange County and SLO County. As you know, the field test was part of a larger project to reduce the rate at which CalWORKs applicants later become involved with child protective services. Please use your experience field testing the CA/N Prevention Services Screening form, definitions and sources, policy and procedures, and follow-up questions guide to respond to the following questions. Responses will be compiled and suggestions used to improve the form and procedures. Revisions will become effective in October 2004 as part of the effort to expand use of the screening tool to other CalWORKs offices. This questionnaire should take approximately ten minutes to complete. Your responses are entirely confidential. Please fax your responses to the Children’s Research Center, 608.831.6446. Thank you.

Name: ___________________________ Date Feedback Form Completed: ____ / ____ / ____
Office: ___________________________

a. Number of years experience with Orange County DSS: _____ years

b. Highest degree completed (check one)
   - High school or equivalent
   - Two-year associate degree
   - Four-year college degree (e.g., Bachelor’s)
   - Master’s degree
   - Ph.D.
   - Other. Specify: ___________________________

Please indicate the one response that best reflects your experience with the child abuse/neglect prevention services screening tool and procedures.

Training

1. Training clearly described the purpose of the risk assessment.
   Strongly Agree    Agree    No opinion    Disagree    Strongly Disagree

2. Training clearly described the policies for completing the risk assessment.
   Strongly Agree    Agree    No opinion    Disagree    Strongly Disagree

3. Training clearly described the procedures for completing the risk assessment.
   Strongly Agree    Agree    No opinion    Disagree    Strongly Disagree
4. Training clearly described the procedures for submitting risk assessment results via fax.

<table>
<thead>
<tr>
<th>Strongly Agree</th>
<th>Agree</th>
<th>No opinion</th>
<th>Disagree</th>
<th>Strongly Disagree</th>
</tr>
</thead>
</table>

5. Overall, the risk assessment training provided by CRC was:

<table>
<thead>
<tr>
<th>Excellent</th>
<th>Good</th>
<th>Fair</th>
<th>Poor</th>
</tr>
</thead>
</table>

**Risk Assessment Form and Definitions**

6. Risk assessment items were clearly defined.

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
</table>

If “No,” which items were problematic and why (if needed, use reverse side for additional space):

- Item number: _____  Problem Description: ____________________________
- Item number: _____  Problem Description: ____________________________
- Item number: _____  Problem Description: ____________________________

**Policy and Procedures**

7. Policy related to the risk assessment was clear.

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
</table>

8. Policy related to the risk assessment was appropriate.

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
</table>

Please describe how policy could be improved:

_________________________________________________________________
_________________________________________________________________
_________________________________________________________________

9. Procedures related to risk assessment completion were clear.

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
</table>

10. Procedures related to the risk assessment were appropriate.

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
</table>

Please describe how procedures could be improved:

_________________________________________________________________
_________________________________________________________________
_________________________________________________________________
Follow-up Questions Guide

11. The Follow-Up Questions Guide was clear.

   Yes  No

   Please indicate how the Follow-Up Questions Guide could be improved.
   ____________________________________________________________
   ____________________________________________________________
   ____________________________________________________________

Miscellaneous

12. Did you complete the form to every CalWORKs applicant?

   Yes  No

13. Approximately how many families did you screen? ________________________

14. The risk assessment will be useful in determining which families to refer for child abuse/neglect prevention services.

   Strongly Agree  Agree  No opinion  Disagree  Strongly Disagree

   If “Disagree” or “Strongly Disagree,” please describe what would improve usefulness:
   ____________________________________________________________
   ____________________________________________________________
   ____________________________________________________________

15. Please share any other suggestions or comments for improving the form, definitions, policy and procedures, or Follow-Up Questions Guide.

   ____________________________________________________________
   ____________________________________________________________
   ____________________________________________________________

16. Please indicate what you think are the strengths of the prevention services screening approach to identifying families for prevention services:

   ____________________________________________________________
   ____________________________________________________________
   ____________________________________________________________

Thank you for participating in the field test and providing your feedback.
BHS QUESTIONNAIRE  
c: June 2004  
(revised for CalWORKs Child Abuse/Neglect Prevention Services Screening field test)

| Participant’s Name: ___________________ | Case Number: __________ | Date: __ / __ / __ |

**Instructions:** Ask the following questions of the participant. A “Yes” response to any of the following questions warrants a referral for a clinical assessment.

Begin the questions with an introductory statement such as:

“As part of the CalWORKs services available to you, I will ask you some questions about substance abuse and mental health to find out if you could benefit from services in these areas.”

“I want you to know that we ask these questions of everybody because we all have fears, worries, or troubles that may lead to drug abuse, alcohol abuse, or emotional problems. These problems make it hard for a person to get or keep a job. These questions will help us decide if talking with a counselor might help you to improve your opportunities for successful employment.”

“You may ask to speak with a counselor even if you don’t answer ‘Yes’ to any of the questions.”

**SUBSTANCE AB USE QUESTIONS:**

1. Have you ever felt you should cut down on your drinking or drug use?  
2. Have people annoyed you by criticizing your drinking or drug use?  
3. Have you felt bad or guilty about your drinking or drug use?  
4. Have you had a drink or used drugs in the morning to steady your nerves?

**MENTAL HEALTH QUESTIONS:**

5. Do you have any feelings, fears or worries that interfere with your daily tasks?  
6. Does getting along with other people make it hard for you to work with them?  
7. Have you thought of seriously hurting yourself or other people in the past six months?  
8. Have you experienced any severe trauma such as the sudden death of a loved one, witnessing a violent crime, or being a victim of a crime or abuse? If so, does this continue to bother you and upset you?

**PARENTING QUESTIONS**

9. Did you ever feel abused or neglected as a child?  
10. Have you ever had problems getting medical care, safe housing, or clothing for your children?  
11. Are you consistently able to make your children feel good about themselves?  
12. Do any or your children have any special needs due to: (check all that apply)  
   - Medical problems  
   - Developmental disability  
   - Mental health  
   - Delinquency

Did participant answer yes to one or more questions?  
If yes, date participant referred for clinical assessment: __ / __ / __

**Referring Worker’s Name:** ___________________  
**Caseload Number:** __________  
**Date:** __ / __ / __  
**Referring Worker’s Phone Number:** __________ - __________
Since you are applying for CalWORKs, we will be asking you several questions to determine your eligibility for a variety of financial assistance programs. We will also be asking questions that will help us get to know you, so together we can find the best way to help you get a good job so your family can become self-sufficient.

We also believe that families have the best chance for success if the whole family is strong and safe.

If we ask a question that you do want to answer, please be aware of the following:

- If it is a question that must be answered to determine your eligibility for CalWORKs and other programs, we will tell you so. If you choose not to answer the question, then we may not be able to continue with your application.
- If it is not a required question for determining eligibility we will tell you so. If you choose not to answer the question, it WILL NOT affect your eligibility for CalWORKs.
- If we receive information that your child may be a victim of abuse or neglect, we are required to notify Children and Family Services.

When your application is processed, we will tell you if you qualify for CalWORKs and if you qualify for additional services that can assist your family in being strong and safe.

To determine which services might be helpful, we may invite you to a meeting to discuss what is going well in your family, as well as challenges your family might be facing. We encourage you to participate in this meeting.

- If you choose to participate, you will be involved in deciding what additional services might be beneficial to your family.
- If you choose not to participate we may not be able to offer additional services.
- Your decision WILL NOT affect your eligibility for cash assistance.

Participant: I want to participate in a Family Assessment to determine if my family can benefit from additional services. I can decide at any time that I don’t want to proceed.

Signature ___________________________ Date ___________________________